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# Exact mapping of prokaryotic gene starts

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# Abstract

It is known that while the programs used to find genes in prokaryotic genomes reliably map protein-coding regions, they often fail in the exact determination of gene starts. This problem is further aggravated by sequencing errors, most notably insertions and deletions leading to frame-shifts. Therefore, the exact mapping of gene starts and identification of frame-shifts are important problems of the computer-assisted functional analysis of newly sequenced genomes. Here we review methods of gene recognition and describe a new algorithm for correction of gene starts and identification of frame-shifts in prokaryotic genomes. The algorithm is based on the comparison of nucleotide and protein sequences of homologous genes from related organisms, using the assumption that the rate of evolutionary changes in protein-coding regions is lower than that in non-coding regions. A dynamic programming algorithm is used to align protein sequences obtained by formal translation of genomic nucleotide sequences. The possibility of frame-shifts is taken into account. The algorithm was tested on several groups of related organisms: gamma-proteobacteria, the Bacillus/Clostridium group, and three Pyrococcus genomes. The testing demonstrated that, dependent on a genome, 1-10 per cent of genes have incorrect starts or contain frame-shifts. The algorithm is implemented in the program package Orthologator-GeneCorrector.

# **INTRODUCTION**

Recent advances in sequencing of complete genomes, growth of data deposited in sequence databases, and development of computer programs for the large-scale similarity analysis make it possible to design more accurate tools for gene recognition. Systematic analysis of the performance of available software for gene recognition highlighted that while the current programs perform well at identifying genes (as opposed to random open reading frames, ORFs), gene starts are predicted with lower accuracy.

Although for many purposes the approximate location of gene starts, eg using 'the leftmost ATG' rule, is sufficient, it still creates some problems, leading to proliferation of annotation errors, complicating genomic analyses that depend on intergenic distances, eg prediction of the operon structure,<sup>1</sup> making it impossible to predict secreted proteins via analysis of signal peptides,<sup>2</sup> and obstructing analysis of translational regulation.<sup>3</sup>

An additional problem complicating gene recognition arises from frame-shifts that interrupt reading frames. Although there exist many biologically meaningful frame-shifts<sup>4</sup> or frame-shifts that indicate non-functional pseudogenes (an extreme case is the degenerating genome of *Mycobacterium leprae*<sup>5</sup>), at least some of them may be caused by sequencing errors. Thus it is desirable to have an algorithm for gene recognition that would not be confused by frame-shifts. Indeed, even if a frame-shift were real, it still would be useful to know the original amino acid sequence of the encoded protein.

The following features are important for gene recognition: (1) ORF length; (2) presence of a ribosome binding site (RBS) upstream of the start codon; (3) specific pattern of codon usage that is different from triplet frequencies in non-coding regions ('coding potential'), as well as Intrinsic approach to

gene recognition

Ribosome binding sites

Codon usage

Integration: hidden Markov models other similar statistical parameters; and (4) similarity to known genes.

Intrinsic, or *ab initio*, approaches use the first three types of data. Hidden Markov models (HMM) provide a convenient language for integrating these diverse parameters of candidate genes in genomic sequences. Extrinsic methods rely on the comparative analysis of genomic DNA sequences using alignment with known genes and proteins.

Ribosome binding sites are located in the  $(-20) \dots (-1)$  region upstream of start codons and serve to direct ribosomes to the correct translation start position. A part of RBS is formed by the purine-rich Shine–Dalgarno (SD) sequence, which is complementary to the 3' end of the 16S rRNA.<sup>6</sup> A number of early papers described methods for recognition of ribosome binding sites using statistical, pattern recognition or neural network modelling of experimentally mapped sites.<sup>7–10</sup>

There are two approaches to the recognition of ribosome binding sites in the absence of a learning sample. One possibility is to rely on the universal mechanisms of RBS recognition via basepairing of the SD box and the 3'-terminus of the 16S rRNA.<sup>6</sup> It was used to predict RBSs in Escherichia coli by calculation of the optimal binding energy between the 16S rRNA of *E. coli* and the region upstream of a potential start codon.<sup>11</sup> It turned out that the reliability of this approach in E. coli is rather low, as the RBS pattern is weak. However, in clostridial Gram-positive bacteria, in particular Bacillus subtilis and Staphylococcus aureus, the average energy at potential RBSs tends to be much stronger. Basepairing of the SD box and the 3'-terminus of the 16S rRNA was used to predict RBSs in B. subtilis, E. coli and Pyrococcus *furiosus.*<sup>12</sup> Beside the RBS binding energy, Hannenhalli *et al.*<sup>12</sup> took into account additional information: the distance between the RBS and the start codon, the distance from the beginning of the maximal ORF to the start codon, the start codon itself (ATG, GTG or TTG) and

the coding/non-coding statistics around the start site.

The other possibility is to derive a 'pseudo-learning' sample of candidate translation initiation sites using proteincoding regions predicted by database search or statistical analysis. In the GeneMark system this sample consists of ATG codons at the 5'-ends of statistically predicted protein-coding regions.<sup>13,14</sup> For prediction, GeneMark uses the start codon score, the SD box score, the downstream box score, pre-start signal score and post-start signal score, all based on similarity to profiles generated from a training set. Similar ideas are implemented in RBS-Finder, a post-processing tool for GLIMMER 2.0, that finds RBSs upstream of start codons.<sup>15</sup>

ORPHEUS uses the similarity analysis to identify genes with only one candidate start codon, and then uses these genes to derive the recognition rule for gene starts.<sup>16</sup> The RBS site score is defined as the sum of the SD box score and the weight of the distance between the SD box and the start codon.

Gene recognition algorithms relying on the codon usage explore the idea that the codon choice is genome-specific.<sup>17</sup> Eighteen amino acids (not methionine and tryptophan) are encoded by two to six codons. The codon usage (the combined result of the amino acid usage and the synonymous codons usage) varies both between organisms and between different genes in the same organism. Indeed, the codon usage reflects the expression level of bacterial genes<sup>18-21</sup> and the history of lateral gene transfer.<sup>22</sup> Still, the statistical patterns in protein-coding regions (the codon usage, correlations between adjacent codons, etc.) are sufficiently strong to distinguish genes from random ORFs (for a review see Fickett and Tung<sup>23</sup>).

A convenient technique for integration of diverse parameters is the HMM. HMM<sup>24</sup> is a Markov chain of hidden states. Each state is assigned a distribution of emission probabilities (Bernoulli or Markov) that generate the observed **Extrinsic approaches** 

**Combined techniques** 

nucleotide sequence. The aim is, given a nucleotide sequence, to reconstruct the most probable sequence of hidden states, each corresponding to a functional state: protein-coding, non-coding intergenic, SD box, etc. This is done using a variant of the standard dynamic programming.

One of the most popular ab initio programs is GeneMark.<sup>25</sup> It uses nonhomogeneous Markov models to describe coding sequences and ordinary Markov models for non-coding sequences. For analysis of a newly sequenced genome, parameters of the Markov models are estimated from a set of ORFs longer then 1,000 nucleotides. As an initial model for non-coding sequences, a zero-order Markov model with genome-specific nucleotide frequencies is used. The initial models are used at the first prediction step. The results of the first prediction are then used to compile a set of putative genes used at the second training step. The training and prediction steps are iterated until the set of predicted genes stabilises. Recently this algorithm was reformulated using the language of hidden Markov models and extended to take into account information about candidate ribosome binding sites.<sup>26</sup>

Another very popular gene recognition program, GLIMMER, relies on interpolated Markov models to take into account DNA oligomers of varying length, thus using all available data without over-training.<sup>27</sup> The gene start is assigned, by default, to the start codon of the longest ORF containing the predicted coding region. Then the program computes the maximum value of the hybridisation energy between the anti-SD segment in the 16S rRNA and the fragments upstream of putative start codons. If there are candidate starts where this value exceeds some threshold, the start with the highest scoring putative SD box is accepted. In a later version, GLIMMER 2.0, the sensitivity of the method was increased by resolution of overlapping genes and improvement of the probabilistic model.<sup>28</sup>

One more program, EcoParse, also

finds the maximum likelihood parse of a DNA sequence into coding and non-coding regions using the hidden Markov model technique.<sup>8</sup>

Extrinsic analysis involves sequence similarity searches. Candidate gene products are searched against protein sequence databanks. BLASTX, the most popular program of this class, performs six-frame translation of the query DNA and compares the resulting amino acid sequences to known proteins.<sup>19</sup> In the pre-genome era, BLASTX was used to detect several hundreds of new bacterial genes missed in original publications and GenBank submissions.<sup>29</sup>

The simplest way to combine the extrinsic and intrinsic approaches is to apply them in parallel.<sup>30</sup> The complete genome sequence of *Bacillus subtilis* was screened by combination of two independent analyses by BLASTX and proFED (prokaryotic frame-shift error detection).<sup>31</sup> The ProFED program uses the predicted coding probabilities in the six reading frames computed by GeneMark and GLIMMER, and then attempts to reconcile overlapping or adjacent high-quality reading frames by incorporation of frame-shifts.

**ORPHEUS** utilises non-supervised training based on sequence similarity searches.<sup>16</sup> The analysis starts with database similarity search and identification of gene fragments having known reliable homologues. These fragments are used to derive the codon usage statistics and to construct the RBS scoring matrix (see above). At the prediction step, the 5'-proximal codon with sufficiently strong RBS is accepted. Unlike GeneMark and EcoParse, ORPHEUS does not rely on statistics of non-coding regions. The motivation is that only coding regions can be defined unambiguously, especially at the initial steps of the analysis.

Another gene recognition program with emphasis on accurate mapping of gene starts is CRITICA.<sup>32</sup> It uses BLASTN<sup>33</sup> at the initial stage to locate sequences in DNA database that are highly similar to the query. If conservation of the amino acid sequence is stronger than expected given the level of conservation of the nucleotide sequence, the ORF is assumed to be coding. Similar reasoning is used to choose the correct start codon.

Even this brief review shows that the distinction between intrinsic and extrinsic methods is somewhat blurred and the most successful algorithms incorporate both approaches. In practice, putative coding regions identified by intrinsic methods are verified by similarity searches, to get support for the predicted protein. Length corrections, based on comparison with known proteins, were made in several dozens of GeneMarkpredicted ORFs in the Haemophilus influenzae, Methanococcus jannashii and Mycoplasma genitalium genomes.<sup>34</sup> Tables 1 and 2 list gene recognition programs used to annotate complete prokaryotic genomes.

Benchmarking of gene recognition software is a difficult problem, since only a few genomes have been characterised experimentally to a sufficient extent. In one such study<sup>38</sup> it was shown that the fraction of correctly identified gene starts is highly correlated with the information content of the SD box signal.

We now turn to a description of an algorithm for correction of gene starts and frame-shifts in prokaryotic genomic sequences.

# MATERIALS AND METHODS

The prediction is done in three steps:

- Building the tables of orthologues.
- Applying a dynamic programming algorithm to align pairs of orthologous genes.
- Filtering of results and identification of suspicious gene starts and possible frame-shifts.

The data flow is presented in Figure 1.

The output of step 3 is evaluated before the final decision about correction of errors is made.

# Data

The algorithm was tested on three groups of genomes:

- Escherichia coli,<sup>39</sup> Vibrio cholerae,<sup>40</sup> Haemophilius influenzae,<sup>41</sup> Buchnera sp.,<sup>42</sup> Xylella fastidiosa;<sup>43</sup>
- Bacillus subtilis,<sup>44</sup> Bacillus halodurans,<sup>45</sup> Clostridium acetobutylicum;<sup>46</sup>
- Pyrococcus horikoshii,<sup>47</sup> Pyrococcus abyssi,<sup>48</sup> Pyrococcus furiosus.<sup>49</sup>

Additionally, the following complete and incomplete genomes of gammaproteobacteria were considered: Salmonella enterica, S. enteritidis, S. paratyphi, S. typhi, S. typhimurium, Klebsiella oxytoca, K. pneumoniae, Yersinia enterocolitica, Y. pseudotuberculosis, Haemophilus ducreyi, Pasteurella haemolytica, P. multocida, Pseudomonas fluorescens, Ps. putida, Ps. stutzeri, Ps. syringae, Ps. aeruginosa, Vibrio anguillarum, V. parahaemolyticus, Xylella almond, X. oleander, Erwinia carotovora, Er. amylovora, Er. chrysanthemi, Er. herbicola, Buchnera aphidicola, Enterobacter aerogenes, En. cloacae, Shigella flexneri, Sh. sonnei, Proteus mirabilis.

# Building the orthologue tables

This is the least specific part of the algorithm: a pre-computed table produced by any external tool can be used. However we describe this step for the sake of completeness.

Although careful analysis of orthologues requires construction of a large number of phylogenetic trees, a reasonable approximation of orthology relationships in our case (closely related genomes) comes from best bidirectional hits (BETs);<sup>50</sup> cf. the COG (cluster of orthologous genes) system.<sup>51</sup>

Two genes, g from genome A and h from genome B, form a BET if the similarity between these genes s(g,h)

#### Table I: Genomes and gene recognition programs

Organism Aquifex aeolicus Archaeglobus fulgidus Bacillus halodurans Bacillus subtilis Borrelia burgdorferi Buchnera sp.	Gene recognition algorithm CRITICA and similarity GeneSmith and CRITICA GeneHacker Plus GeneMark GLIMMER GeneHacker Plus ORPHEUS and GLIMMER
Archaeglobus fulgidus Bacillus halodurans Bacillus subtilis Borrelia burgdorferi	GeneSmith and CRITICA GeneHacker Plus GeneMark GLIMMER GeneHacker Plus ORPHEUS and GLIMMER
Bacillus halodurans Bacillus subtilis Borrelia burgdorferi	GeneHacker Plus GeneMark GLIMMER GeneHacker Plus ORPHEUS and GLIMMER
Bacillus subtilis Borrelia burgdorferi	GeneMark GLIMMER GeneHacker Plus ORPHEUS and GLIMMER
Borrelia burgdorferi	GLIMMER GeneHacker Plus ORPHEUS and GLIMMER
<b>o</b> .	GeneHacker Plus ORPHEUS and GLIMMER
Buchnerg sp	ORPHEUS and GLIMMER
buchneru sp.	
Campylobacter jejuni NCTC 11168	CLIMANED
Caulobacter crescentus	GLIMMER
Chlamidia pneumoniae AR39	GLIMMER
Chlamidia trachomatis MoPn Nigg	GLIMMER
Clostridium acetobutylicum	ORFs(Uniorf)
Clostridium perfringens	GeneHacker Plus
Cyanobacterium anabaena	GLIMMER
Deinococcus radiodurans R I	GLIMMER
Escherichia coli	GeneMark
Escherichia coli O157:H7	GeneHacker Plus
Halobacterium sp. NRC-1	GLIMMER
Helicobacter pylori	GeneMark and GeneSmith
Methanococcus jannaschii	GeneMark
Mycobacterium tuberculosis	TbParse
Mycoplasma genitalium	GeneMark
Mycoplasma pneumoniae	Frames
Mycoplasma pulmonis	GLIMMER
Neisseria meningitidis Z2491 (serogroup A)	GLIMMER
Pasteurella multocida Pm70	ORPHEUS and GLIMMER
Pseudomonas aeruginosa	GeneMark
Pyrococcus abyssi	ORFs and similarity
Pyrococcus horikoshii	ORFs and similarity
Ralstonia solanacearum	FrameD
Rickettsia prowazekii	ORFs(BioWish)
Salmonella enterica	GeneMark and GLIMMER
Sinorhizobium meliloti	GLIMMER and FrameD
Staphylococcus aureus	GLIMMER
Streptococcus pneumoniae TIGR4	GLIMMER
Streptococcus pyogenes	GLIMMER
Sulfolobus solfataricus P2	GLIMMER
Synechocystis sp. PCC6803	GeneMark
Termotoga maritime	GLIMMER
Thermoplasma acidophilum	ORPHEUS
Thermotoga maritima	GLIMMER
Treponema pallidum	GLIMMER
Ureaplasma urealiticum	GeneMark and GLIMMER
Vibrio cholerae	GLIMMER
Xylella fastidiosa	GLIMMER
Yersinia pestis	GLIMMER
rersiniu pesus	GLITTER

exceeds the similarity for any other choice of either member of the pair: s(g, h) >s(x, h) and  $s(g, h) > s(g, \gamma)$  for every  $x \neq g$  from genome *A* and  $\gamma \neq h$  from *B*.

For every gene in the basic genome (BG) to be corrected, the program identifies an orthologue in another (additional) genome (AG) from the same taxonomic group. The gene pairs are formed using BLASTP.<sup>52</sup> The statistical significance of similarity between *a* and *b* (E-value) must be less than  $10^{-6}$ . Thus we obtain the table of orthologues for genomes BG and AG. The procedure is done for all additional genomes AG<sub>i</sub> from the same taxonomic group.

The number of thus determined orthologue pairs depends on the degree of relatedness and the size of the compared genomes. For instance, the number of orthologue pairs for *E. coli* and *V. cholerae* was 2,300, whereas for *E. coli* and *H. influenzae* it was only 1,400.

The orthologue tables were constructed using the program ORTHOLOGATOR, which is a part of the created software package.<sup>53</sup> Processing of one pair of genomes requires approximately one hour (on a PC with Intel Pentium III, 650 MHz, RAM 128 M configuration), dependent on the size of genomes.

# A dynamic programming algorithm for alignment of gene starts

Consider a pair of orthologous genes. Extend them by  $n_1$ ,  $n_2$  nucleotides at the left and  $m_1$ ,  $m_2$  nucleotides at the right

Gene prediction met	hod URL	Ref.	
GeneMark	http://www.opal.biology.gatech.edu/GeneMark/	25	
GLIMMER	http://www.tigr.org/softlab/glimmer/glimmer.html	27	
EcoParse, TbParse	http://www.cbs.dtu.dk/krogh/EcoParse.info	35	
GeneSmith	Unpublished	H. O. Smith	
ORPHEUS	http://pedant.mips.biochem.mpg.de/orpheus	16	
GeneHacker Plus	http://www.elmo.ims.u-tokyo.ac.jp/GH/	36	
FrameD	http://www.toulouse.inra.fr/FrameD.html	37	
CRITICA	ftp://rdp.life.uiuc.edu/pub/critica	32	
	http://rdpwww.life.uiuc.edu		

#### Table 2: Gene prediction methods

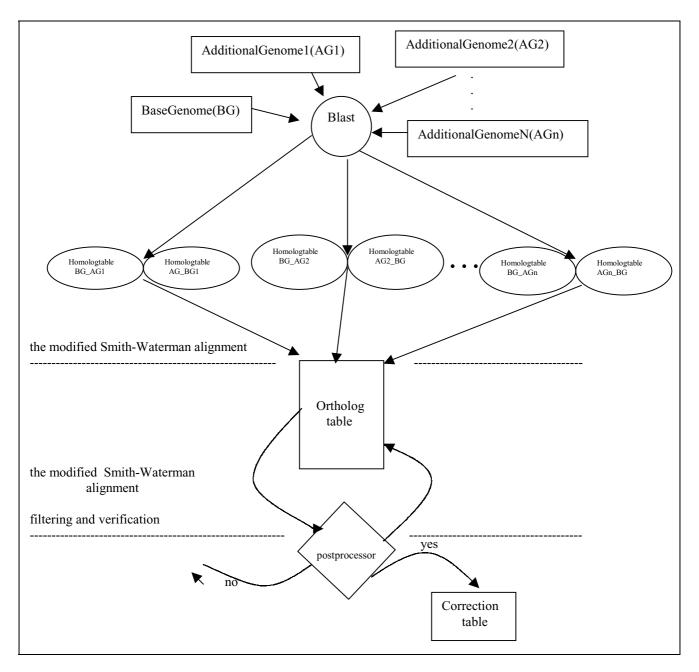
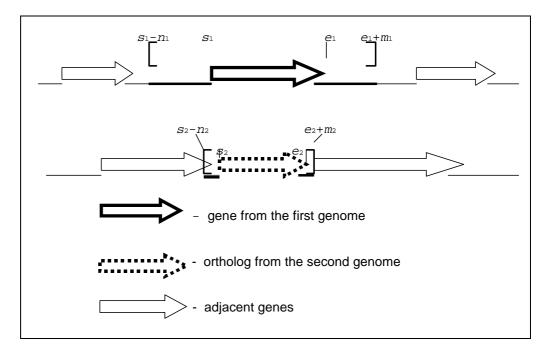


Figure I: GeneCorrector data flow. Base genomes: E. coli, V. cholerae, B. subtilis, P. horikoshii. Additional genomes: H. influenzae, Buchnera sp., X. fastidiosa; B. halodurans, C. acetobutylicum; P. abyssi, P. furiosus

(the maximum extension length at each end is 200 nucleotides). Overlaps with adjacent genes translated in the same direction are excluded (Figure 2).

In a pair of extended sequences, all potential starts (ATG, TTG, GTG) and stops (TGA, TAA, TAG) around gene starts ( $[s_1 - n_1, s_1 + n_1]$  for the first gene,  $[s_2 - n_2, s_2 + n_2]$  for the second gene) and ends ( $[e_1 - m_1, e_1 + m_1]$  for the first

gene,  $[e_2 - m_2, e_2 + m_2]$  for the second gene) are marked. For two nucleotide sequences  $[s_1 - n_1, e_1 + m_1]$  and  $[s_v - n_v, e_2 + m_2]$  a variant dynamic programming algorithm similar to the Smith–Waterman local alignment procedure<sup>54</sup> is used to align the protein sequences generated by the formal translation of the nucleotide sequences in all three reading frames, with account to possible frame-shifts.





The recursions for the alignment are:

 $\gamma_j$ )

$$S_{i,j} = \max \begin{cases} S_{i-3,j-3} + d(x_i, Q_{i,j}) \\ R_{i,j} \\ S_{i-2,j} + f \\ S_{i-1,j} + f \\ S_{i,j-2} + f \\ S_{i,j-1} + f \end{cases}$$

where:

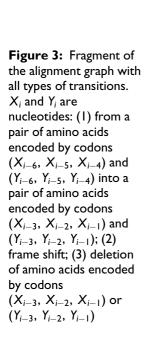
$$Q_{i,j} = \max \begin{cases} S_{i,j-3} + a \\ Q_{i,j-3} + b \end{cases}$$
$$R_{i,j} = \max \begin{cases} S_{i-3,j} + a \\ R_{i-3,j} + b \end{cases}$$

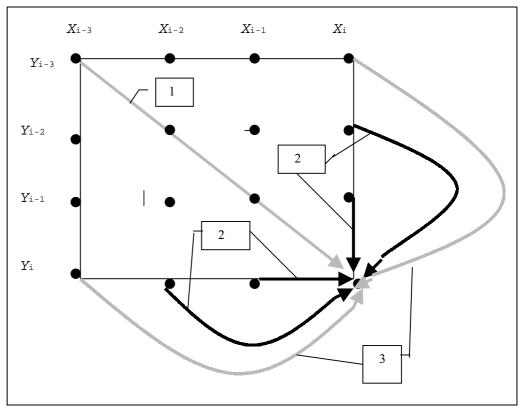
Here  $S_{i,j}$  is the score of the alignment at point  $(x_i, y_j)$ ;  $d(x_i, y_j)$  is the weight of matching two amino acids encoded by codons  $(x_{i-2}, x_{i-1}, x_i)$  and  $(y_{j-2}, y_{j-1}, y_j)$  codons; *a* is the deletion initiation penalty; *b* is the deletion extension penalty; *f* is the frame-shift penalty.

The alignment graph, whose vertices are the elements of the optimum distance matrix, is shown in Figure 3. Filling of the alignment matrix begins from the element (0, 0) and terminates on the element (l, n), where l and n are the lengths of the extended nucleotide sequences. Algorithm attempts to begin the alignment at every pair of start codons and to terminate at every pair of stop codons in the areas around annotated start and stop respectively. The alignment does not proceed beyond points where the similarity score falls below 0; in this case the nearest already aligned pair of start or stop codons is used. Thus this procedure is similar to the Smith–Waterman local alignment, although the fact that the alignment can terminate only at selected (though multiple) points resembles the global alignment of the Needleman– Wunsch type.

The traceback in the alignment matrix initiates at the pair of stop codons having the largest cumulative alignment score among all potential stops. The traceback terminates at the pair of start codons, whose alignment score is the largest among all pairs of potential starts. If thus identified gene termini differ from the annotated ones, the gene is retained for further analysis.

The extensive testing showed that this procedure is robust as regards the choice of the amino acid substitution matrix (PAM120, PAM60, PAM30 and PAM10<sup>55</sup>). The deletion initiation penalty was 10, the deletion extension was 2; the frame-shift penalty was 20.





# Filtering

At the filtering step possible explanations for the observed differences between the aligned and annotated gene termini are considered. Three main types of noninformative alignments have been identified during preliminary testing (Figure 4):

- Alignments that do not require correction of gene coordinates (ie confirm the existing annotation).
- Weak alignments not sufficient to suggest revision: (a) for start correction, if the relative similarity score of Nterminal region (15 per cent of the protein length) is smaller than 60 per cent, (b) for frame-shifts, if the relative similarity score of complete alignment is smaller than 40 per cent.
- Alignments with multiple transitions between the reading frames (clustered frame-shifts closer than 21 nucleotides to each other). This happens if reading frames different from the correct one

encode rare amino acids with high match weight.

The alignment and filtering procedures are implemented in the program GeneCorrector.

# RESULTS

Table 3 presents the number of alignments of each type for all considered pairs of genomes and the number of candidates for correction. For example, for genomes *E. coli* and *V. cholerae* with 2,300 potential pairs of orthologues, 1,254 (~55 per cent) corroborate annotation, 846 (~37 per cent) are weak alignments and 149 (~7 per cent) are alignments with multiple transitions between reading frames. The remaining 56 genes (51 alignments) in both genomes are candidates for correction of the annotation or sequencing errors.

For the retained genes, verification using a third genome was made. If correction made by the comparison of the BaseGenome (BG) with an additional genome AG*j* was confirmed in the

```
Query: MNIIAIMGPHGVFYKDEPIKELESALVAQGFQIIWPQNSVDLLKFIEHNPRICGVIFDWD
         MNI AI+ GVF+K+EP+++L AL G+ ++ P + DL K IE NPRICGV FDWD
  Sbjct: MNIFAILNHMGVFFKEEPVRQLHAALEKAGYDVVYPVDDKDLIKMIEMNPRICGVLFDWD
(a)
  Query: MT^EQRPLTIA_LVAGETSGDILGAGLIRALKEHVPNARFVGVAGPRMQAEG
              PAL + SL
          Т
                                     А
                                          v
                                                          E
  Sbjct: VTaHPPPVGRARLHPLQQSRHNLQESQREAAQCLVSSPWYL_LNLVNVISES
(b)
  Query: GRVRKSSLPNNATPKSPIDSg^IASSIVVACGPPPSDSTVAKLAKQSIKISAATGGIC
         GRVRKS LPN A KSPI+S IASS VVA GPPPS TVAKL KQSI
                                                            AT G C
  Sbjct: GRVRKSWLPNRAALKSPIES^gIASSMVVALGPPPSAITVAKLVKQSINTKPATCGSC
  Query: ^^IacGRLKNTWASKIPCRPQLa^CCSSRWRKFMMVVRSGKALSRDNRANRRMEAIS
           Ι
             G+L TWASKIP +PQL CCS +WRKFMMVVRSG ALS + AN RM+AIS
  Sbjct: gcl^^GKLNSTWASKIPFKPQL^aCCSNKWRKFMMVVRSGMALSSERWANNRMDAIS
(C)
  Query: RMRFFKAFQQLQ^^^CLSLLG
                     C S G
         RM FK QQL
  Sbjct: RMLLFKLTQQL_taaCASFFG
(d)
```

Table 3: Types of alignments obtained during testing

Pairs of organisms	Number of orthologous pairs	Coincidence with GenBank annotation	Weak alignments	Alignments with multiple transitions between reading frames	Candidates for correction (in both genomes)
E. coli—V. cholerae	2,300	1,254 (55%)	846 (37%)	149 (7%)	56
E. coli—H. influenzae	1,400	849 (61%)	452 (32%)	69 (5%)	32
E. coli—Buchnera sp.	400	235 (62%)	133 (32%)	22 (5%)	12
E. coli—X. fastidiosa	1,600	972 (61%)	563 (34%)	45 (4%)	24
V. cholerae—H. influenzae	1,050	617 (60%)	323 (33%)	75 (6%)	38
V. cholerae—Buchnera sp.	280	186 (61%)	74 (34%)	11 (4%)	18
V. cholerae—X. fastidiosa	1,200	833 (62%)	388 (33%)	54 (4%)	27
B. subtilis—B. halodurans	2,700	1,604 (60%)	946 (35%)	205 (4%)	53
B. subtilis—C. acetobutylicum	1,600	939 (58%)	577 (36%)	54 (5%)	33
P. horikoshii—P. abyssi	1,100	676 (62%)	353 (33%)	41 (4%)	38
P. horikoshii—P. furiosus	1,050	662 (63%)	333 (32%)	30 (4%)	31

comparison with one more additional genome  $AG_k$ , the new gene coordinates were accepted as final (Figure 5). For this purpose, genes from 'base' genomes (*E. coli, V. cholerae, B. subtilis* and *P. horikoshii*)

were compared with orthologous genes from additional genomes of the same taxonomic groups (*H. influenzae, Buchnera* sp. and *X. fastidiosa* for *E. coli* and *V. cholerae*; *B. halodurans* and *C. acetobutylicum* 

**Figure 4:** Alignments not leading to error correction: (a) exact coincidence with the annotation; (b) too distant genes; (c) and (d) false frame shifts

```
Query=E.C. |hpt|b0125
>V.C. |VC0585
                                                               DMKH
Ouery: MC^^DRHHNSSFINRttPRMNSFTGILRV^^LRFVAVPKPARAaSDLFLNHMVR
                    N+
                       +RM+ TIR
                                      T, V +
                                             P+++ + T.
                                                            R
                                                                MKH
                                                         +
Sbjct: LSttSACFIEWVHNK^^ARMSRET_INR_atLSEVVLYAPPKS^AILTSPNTTRatGMKH
Query: TVEVMIPEAEIKARIAELGRQITERYKDSGSDMVLVGLLRGSFMFMADLCREVQVSHEVD
       TVEVMI+E E+ RI ELG+QITE+Y S SD+VLVGLLRGSF+FMADL R++++H+VD
Sbjct: TVEVMISEQEVAQRIRELGQQITEHYQGS_SDLVLVGLLRGSFVFMADLARQIHLTHQVD
Query=E.C. |hpt|b0125
>Buch. | hpt
Query: Ma^IVLL^VYCVSCALLR^^CQ_NLP^^VRS__DLFLNHMVRDMKHTVEVMIPEAEIKAR
       + I + + + Y + + C L
                                   VS L
                                           N ++ MKHT++V+I E E+ R
Sbjct: L^tILEItIYSINCVFLItaILLYFFaaVFSYHLIHTNYLINIMKHTLQVIITEKELDIR
Query: IAELGRQITERYKDSGSDMVLVGLLRGSFMFMADLCREVQVSHEVDFMTASSYGSGMSTT
       + ELG++IT +Y++S + M+L++LLRGSF+F++DLCR +++ HE+DFMT+SSYG GM ++
Sbjct: VRELGQEITKKYRNSRNKMILIALLRGSFIFISDLCRRIHIEHEIDFMTTSSYGRGMLSS
```

**Figure 5:** Correction of gene start (*hpt* from *E*. *coli* after comparison with *V*. *cholerae*) corroborated by the third genome (*Buchnera* sp.)

# for *B. subtilis*; *P. abyssi* and *P. furiosus* for *P. horikoshii*).

Figure 6 illustrates the major types of errors, whereas Tables 4 and 5 presents the summary data for studied genomes. The majority of corrections in the GenBank annotation, approximately 80 per cent (dependent of the genome), were confirmed by the SWISS-PROT databank,<sup>56</sup> thus demonstrating high accuracy of the obtained results.

In an additional study, a strong dependency between the number of suggested corrections and the number of considered additional genomes was observed. The same procedure was applied to correction of E. coli genes using 32 complete or partial genomes of gamma-proteobacteria. The results were compared with 811 E. coli gene starts verified by N-terminal protein sequencing, extracted from the EcoGene database<sup>57</sup> (Table 6). This database suggests start corrections in 77 genes. Of these, 73 genes were identified by our program as well, and the remaining 4 genes were missed, because no orthologues were available for

comparison. GeneCorrector suggests additional 395 start corrections, for which no experimental data are available. In no cases did GeneCorrector annotations contradict experimental data. Finally, out of 20 frame-shifts identified in the largescale comparison, 12 are mentioned in EcoGene (only three frame-shifts were corrected when 4 genomes were used).

# DISCUSSION

The above algorithms provide computational support for the gene identification experiments. We have tested the algorithm on three groups of genomes and demonstrated high reliability of predictions. Application of the comparative approach leads to a number of interesting observations. Three types of genomic sequencing and annotation errors were identified:

• Genes that had been sequenced and annotated long ago and not revised ever since. More accurate analysis showed in some cases that gene starts had been mapped incorrectly.

Query=E.C. |ilvD|b3771 a) >H.I.|HI0738 MPKYRSATTTHGRNMAGARALW\_ACTGMTDADFGKPIIAVVNSFTQFVPGHVHLRDLGKL Query: MPK RSAT+T+GRNMAGARALW A TGM + DFGKPIIAVVNSFTQFVPGHVHL+D+G L Sbjct: MPKLRSATSTQGRNMAGARALWRA^TGMKENDFGKPIIAVVNSFTQFVPGHVHLKDMGQL frame shift b) Query=E.C. |yci0|b1267 >H.I.|HI1198 Query: M^^GTAAEHHREGIMSQFFYIHPDNPQQRLINQAVEIVRKGGVIVYPTDSGYALGCKIED MSQFFYIHP+NPQ RLINQAVEI KGGVIVYPTDSGYALGC R А D Sbjct: LctIISAHFLRKKHMSQFFYIHPENPQARLINQAVEILQKGGVIVYPTDSGYALGCMMGD c) Query=E.C. |ribD|b0414 >H.I.|HI0944 QDEYYMARALKLAQRGRFTTHPNPNVGCVIVKDGEIVGEGYHQRAGEPHAEVHA Ouery: +M RAL LA +G +TT PNP VGCV VK+GEIVGEG+H +AG+PHAE A QD Sbjct: MLEFSSQDCVFMQRALDLAAKGQYTTTPNPSVGCVLVKNGEIVGEGFHFKAGQPHAERVA d) Query=V.C. |VC0257 >E.C. | b4285 Query: LSPDSRLTGKALSMAYESRGKPKGVMFHS^^DQGSHYTSRKYRQLLWRFQIKQSLSRRGN DQGSHYTSR +RQLLWR+ I+QS+SRRGN SPDSRLT KAL MA E+RGKP GVMF+ Sbjct: FSPDSRLTMKALEMAWETRGKPVGVMFQ\_gcDQGSHYTSRQFRQLLWRYRIRQSMSRRGN Query: CWDNAPIERFFRSLKTEWVPTVGYRSFAEAQQEITRYIIGYYCQLRPHQYNGGLTPNESE CWDN P ERFFRSLK EWVP GYRSFAEA+QEITRYI+ YYCQLRPHQYN + NESE Sbjct: CWDNSPMERFFRSLKNEWVPATGYRSFAEARQEITRYILRYYCQLRPHQYNSPMERNESE Query: RLYWENSKTVANFS R WEN VAN Sbjct: RSPWENERFVAN

**Table 4:** Corrected gene starts and frame-shifts in 14 completely sequenced microbal genomes

Organism	Date submitted	Number of genes	Corrected starts	Frame-shifts
Escherichia coli	1997	4,288	22 (0.5%)	3
Vibrio cholerae	2000	2,736	28(1%)	5
Haemophilius influenzae	1995	1,709	15(1%)	2
Buchnera sp.	2000	564	10(2%)	5
Xylella fastidiosa	2000	2,766	5(0.01%)	2
Bacillus subtilis	1997	4,097	24(0.5%)	6
Bacillus halodurans	2000	4,066	21(0.5%)	8
Clostridium acetobutylicum	1997	3,740	22(0.5%)	5
Pyrococcus horikoshii	1998	2,058	24(1.5%)	8
, Pyrococcus abyssi	2000	1,763	28(2%)	12
Pyrococcus furiosus	2000	2,208	28(1.5%)	7

**Figure 6:** Examples of annotation errors: (a) gene *ilvD* from *E. coli* – frame-shift in and wrong gene start in *E. coli*; (b) gene *yciO* from *E. coli* – wrong gene start; (c) gene *ribD* from *E. coli* and *HIO944* – wrong starts of both genes; (d) hypothetical gene from *E. coli* encoding a transposase – frameshift and wrong gene end

Base genomes	Number of genes		Old/obsolete annotation	Conflicting annotation (confirmed by SWISSPROT EcoGene)	
E. coli	4,288	25	2	21	2
E. coli (large-scale comparison)	4,288	468	327	73	68
V. cholerae	2,736	33	4	26	3
B. subtilis	4,097	30	4	23	3
P. horikoshii	2,058	32	8	18	6

Table 5: Types of errors found in the annotations of base genomes

**Table 6:** Corrections of gene starts in *E. coli* using 32 additional genomes (the data for the case of only four additional genomes are in parentheses)

	GeneCorrector	No opinion	
EcoGene	73 (3)	4 (74)	
no opinion	395 (22)	0 (0)	

- Hypothetical genes for which there is no experimental information. In such cases, the comparison corrects the results of the statistical annotation.
- Genes that have conflicting annotation in different databases.

One of the expected, but still important, results of this study was that the number of corrected starts depends on the number of considered exons. Indeed, the number of corrections suggested for *E. coli* grew from 25 to 468 when the number of additional genomes rose from 4 to 32, and the comparison with EcoGene makes it likely that most of them are valid. On the other hand, the number of missed cases decreased from 74 to only 4.

Of course, the suggested algorithm does not cover all possibilities and also does not take into account all available features. However, given its simplicity and computational flexibility, the described algorithm can be easily linked to other tools and incorporated into gene recognition software for large-scale genome annotation projects.

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